IFW

Neifeld Ref:

EDWA0018U-US

IN THE UNITED STATES FATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

White, et al.

US APP. NO:

10/733,292

FILED:

12/12/2003

FOR:

Intraluminal Graft

GAU:

3738

EXAMINER:

Unknown

PTO CONFIRMATION NO. 2518

37 CFR 1.97 INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS ALEXANDRIA, VA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached PTO-1449. Copies of the listed references are being submitted herewith or, were submitted in the parent or grandparent application, where required, as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references, or cited by the examiner.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- □ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

PETITION

□ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(p).

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 50-2106. A duplicate copy of this sheet is enclosed.

31518

PATENT TRADEMARK OFFICE

Respectfully submitted,

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Printed: September 10, 2004 (10:09am)

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SEP 1 4 2004 33

FILING DATE: 12/12/2003

NEIFELD IP LAW REFERENCE FORM

LIST OF REFERENCES CITED BY THE APPLICANT

(Rev: 5/14/2003)

FIRST NAMED INVENTOR: WHITE

GROUP ART UNIT: 3738

LISTING OF UNITED STATES PATENTS - U series

| EXAMINER INITIALS | REFERENCE NUMBER (U SERIES) | PATENT NUMBER | ISSUE DATE | NAME OF PATENTEE OR APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|----------------------|-----------------------------------|------------------|------------|----------------------------------|---|
| | U-001 | 3,868,956 | 3/4/75 | Alfidi et al. | |
| | U-002 | 3,878,565 | 4/22/75 | Sauvage | |
| | U-003 | 3,890,977 | 6/24/75 | Wilson | , |
| | U-004 | 3,996,938 | 12/14/76 | Clark, III | |
| | U-005 | 4,149,911 | 4/79 | Clabburn | Already of record in 09/478,352 |
| | U-006 | 4,225,979 | 10/80 | Rey | Already of record in 09/478,352 |
| | U-007 | 4,306,318 | 12/81 | Mano | Already of record in 09/478,352 |
| | U-008 | 4,416,028 | 11/83 | Eriksson et al. | Already of record in 09/478,352 |
| | U-009 | 4,425,908 | 1/17/84 | Simon | |
| | U-010 | 4,503,569 | 3/85 | Dotter | Already of record in 09/478,352 |
| | U-011 | 4,512,338 | 4/85 | Balko et al. | Already of record in 09/478,352 |
| | U-012 | 4,553,545 | 11/85 | Maass | Already of record in 09/478,352 |
| | U-013 | 4,560,374 | 12/85 | Hammerslag | Already of record in 09/478,352 |
| | U-014 | 4,562,596 | 1/86 | Kornberg | Already of record in 09/478,352 |
| | U-015 | 4,577,631 | 3/86 | Kreamer | Already of record in 09/478,352 |
| | U-016 | 4,580,568 | 4/86 | Gianturco | Already of record in 09/478,352 |
| | U-017 | 4,617,932 | 10/86 | Kornberg | Already of record in 09/478,352 |
| | U-018 | 4,649,922 | 3/87 | Wiktor | Already of record in 09/478,352 |
| | U-019 | 4,655,771 | 4/87 | Wallsten | Already of record in 09/478,352 |
| | U-020 | 4,665,906 | 5/87 | Jervis | Already of record in 09/478,352 |
| | U-021 | 4,665,918 | 5/87 | Garza | Already of record in 09/478,352 |
| | U-022 | 4,681,110 | 5/87 | Wiktor | Already of record in 09/478,352 |
| | U-023 | 4,728,328 | 5/88 | Hughes | Already of record in 09/478,352 |
| | U-024 | 4,729,766 | 5/88 | Bergentz | Already of record in 09/478,352 |
| | U-025 | 4,731,073 | 3/88 | Robinson | Already of record in 09/478,352 |
| | U-026 | 4,732,152 | 3/88 | Wallsten | Already of record in 09/478,352 |
| | U-027 | 4,733,665 | 3/88 | Palmaz | Already of record in 09/478,352 |
| | U-028 | 4,739,762 | 4/88 | Palmaz | Already of record in 09/478,352 |

| EXAMIN ER INITIALS | REFERENCE NUMBER (U SERIES) | PATENT NUMBER | ISSUE DATE | NAME OF PATENTEE OR APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|-----------------------------------|------------------|---------------|----------------------------------|---|
| | U-029 | 4,762,128 | 8/88 | Rosenbluth | Already of record in 09/478,352 |
| | U-030 | 4,768,507 | 9/88 | Fischell et al. | Already of record in 09/478,352 |
| | U-031 | 4,772,264 | 9/88 | Cragg | Already of record in 09/478,352 |
| | U-032 | 4,776,337 | 10/88 | Palmaz | Already of record in 09/478,352 |
| | U-033 | 4,787,899 | 11/88 | Lazarus | Already of record in 09/478,352 |
| | U-034 | 4,793,348 | 12/88 | Palmaz | Already of record in 09/478,352 |
| | U-035 | 4,800,882 | 1/89 | Gianturco | Already of record in 09/478,352 |
| | U-036 | 4,820,298 | 4/89 | Leveen | Already of record in 09/478,352 |
| | U-037 | 4,830,003 | 5/89 | Wolff et al. | Already of record in 09/478,352 |
| | U-038 | 4,856,516 | 8/89 | Hillstead | Already of record in 09/478,352 |
| | U-039 | 4,872,874 | 10/10/89 | Taheri | |
| | U-040 | 4,878,906 | 11/89 | Lindermann | Already of record in 09/478,352 |
| | U-041 | 4,886,062 | 12/89 | Wiktor | Already of record in 09/478,352 |
| | U-042 | 4,886,065 | 12/89 | Wiktor | Already of record in 09/478,352 |
| | U-043 | 4,913,141 | 4/90 | Hillstead | Already of record in 09/478,352 |
| | U-044 | 4,922,905 | 5/90 | Strecker | Already of record in 09/478,352 |
| | U-045 | 4,923,464 | 5/8/90 | DiPisa, Jr. | Already of record in 09/478,352 |
| | U-046 | 4,950,227 | 8/90 | Savin et al. | Already of record in 09/478,352 |
| | U-047 | 4,954,126 | 9/90 | Wallsten | Already of record in 09/478,352 |
| | U-048 | 4,969,458 | 11/90 | Wiktor | Already of record in 09/478,352 |
| | U-049 | 4,969,890 | 11/90 | Sugita | Already of record in 09/478,352 |
| | U-050 | 4,994,071 | 2/91 | MacGregor | Already of record in 09/478,352 |
| | U-051 | 5,015,253 | 5/91 | MacGregor | Already of record in 09/478,352 |
| - | U-052 | 5,019,085 | 5/91 | Hillstead | Already of record in 09/478,352 |
| | U-053 | 5,019,090 | 5/91 | Pinchuk | Already of record in 09/478,352 |
| | U-054 | 5,035,706 | 7/91 | Gianturco | Already of record in 09/478,352 |
| | U-055 | 5,037,392 | 8/91 | Hillstead | Already of record in 09/478,352 |
| | U-056 | 5,037,427 | 9/91 | Harada | Already of record in 09/478,352 |
| | U-057 | 5,041,126 | 8/91 | Gianturco | Already of record in 09/478,352 |
| | U-058 | 5,047,050 | 9/91 | Arpesani | Already of record in 09/478,352 |
| | U-059 | 5,057,092 | 10/91 | Webster | Already of record in 09/478,352 |
| | U-060 | 5,061,275 | 10/91 | Wallsten et al. | Already of record in 09/478,352 |

| EXAMIN ER INITIALS | REFERENCE NUMBER (U SERIES) | PATENT NUMBER | ISSUE DATE | NAME OF PATENTEE OR APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|-----------------------------------|------------------|---------------|----------------------------------|---|
| _ | U-061 | 5,064,435 | 11/12/91 | Porter | Already of record in 09/478,352 |
| | U-062 | 5,067,957 | 11/91 | Jervis | Already of record in 09/478,352 |
| | U-063 | 5,078,726 | 1/92 | Kreamer | Already of record in 09/478,352 |
| | U-064 | 5,078,736 | 1/92 | Behl | Already of record in 09/478,352 |
| | U-065 | 5,085,635 | 2/92 | Cragg | Already of record in 09/478,352 |
| | U-066 | 5,102,417 | 4/92 | Palmaz | Already of record in 09/478,352 |
| | U-067 | 5,104,399 | 4/92 | Lazarus | Already of record in 09/478,352 |
| | U-068 | 5,104,404 | 4/92 | Wolff | Already of record in 09/478,352 |
| | U-069 | 5,108,416 | 4/92 | Ryan et al. | Already of record in 09/478,352 |
| | U-070 | 5,116,318 | 5/92 | Hillstead | Already of record in 09/478,352 |
| - | U-071 | 5,122,154 | 6/16/92 | Rhodes | Already of record in 09/478,352 |
| | U-072 | 5,123,917 | 6/92 | Lee | Already of record in 09/478,352 |
| | U-073 | 5,133,732 | 7/92 | Wiktor | Already of record in 09/478,352 |
| | U-074 | 5,135,536 | 8/92 | Hillstead | Already of record in 09/478,352 |
| · | U-075 | 5,151,105 | 9/92 | Kwan-Gett | Already of record in 09/478,352 |
| | U-076 | 5,161,547 | 11/92 | Tower | Already of record in 09/478,352 |
| | U-077 | 5,163,958 | 11/17/92 | Pinchuk | Already of record in 09/478,352 |
| | U-078 | 5,178,630 | 1/93 | Schmitt | Already of record in 09/478,352 |
| | U-079 | 5,183,085 | 2/93 | Timmermans | Already of record in 09/478,352 |
| | U-080 | 5,192,297 | 3/93 | Hull | Already of record in 09/478,352 |
| | U-081 | 5,192,307 | 3/93 | Wall | Already of record in 09/478,352 |
| | U-082 | 5,195,984 | 3/93 | Schatz | Already of record in 09/478,352 |
| | U-083 | 5,201,901 | 4/93 | Harada | Already of record in 09/478,352 |
| | U-084 | 5,207,695 | 5/93 | Trout, III | Already of record in 09/478,352 |
| | U-085 | 5,211,658 | 5/93 | Clouse | Already of record in 09/478,352 |
| | U-086 | 5,219,355 | 6/93 | Parodi et al. | Already of record in 09/478,352 |
| | U-087 | 5,236,446 | 8/93 | Dumon | Already of record in 09/478,352 |
| | U-088 | 5,246,445 | 9/93 | Yachia et al. | Already of record in 09/478,352 |
| | U-089 | 5,266,073 | 11/93 | Wall | Already of record in 09/478,352 |
| | U-090 | 5,275,622 | 1/94 | Lazaru | Already of record in 09/478,352 |
| | U-091 | 5,282,823 | 2/94 | Schwartz et al. | Already of record in 09/478,352 |
| | U-092 | 5,282,824 | 2/94 | Gianturco | Already of record in 09/478,352 |

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|--------------------------|-----------------------------------|------------------|---------------|----------------------------------|---|
| | U-093 | 5,282,860 | 2/94 | Matsuno et al. | Already of record in 09/478,352 |
| | U-094 | 5,290,305 | 3/94 | Inoue | Already of record in 09/478,352 |
| | U-095 | 5,292,331 | 3/94 | Boneau | Already of record in 09/478,352 |
| | U-096 | 5,304,200 | 4/94 | Spaulding | Already of record in 09/478,352 |
| | U-097 | 5,314,472 | 5/94 | Fontaine | Already of record in 09/478,352 |
| | U-098 | 5,316,023 | 5/94 | Palmaz | Already of record in 09/478,352 |
| | U-099 | 5,330,500 | 7/94 | Song | Already of record in 09/478,352 |
| | U-100 | 5,330,528 | 7/94 | Lazim | Already of record in 09/478,352 |
| | U-101 | 5,342,300 | 8/30/94 | Stefanadis et al. | |
| | U-102 | 5,342,387 | 8/94 | Summers | Already of record in 09/478,352 |
| | U-103 | 5,344,425 | 9/94 | Sawyer | Already of record in 09/478,352 |
| | U-104 | 5,344,426 | 9/94 | Lau et al. | Already of record in 09/478,352 |
| | U-105 | 5,354,308 | 10/94 | Simon et al. | Already of record in 09/478,352 |
| | U-106 | 5,354,309 | 10/94 | Schnepp-Pesch | Already of record in 09/478,352 |
| | U-107 | 5,356,433 | 10/94 | Rowland et al. | Already of record in 09/478,352 |
| | U-108 | 5,360,443 | 11/94 | Barone et al. | Already of record in 09/478,352 |
| | U-109 | 5,366,504 | 11/94 | Andersen | Already of record in 09/478,352 |
| | U-110 | 5,370,683 | 12/94 | Fontaine | Already of record in 09/478,352 |
| | U-111 | 5,375,612 | 12/94 | Cottenceau et al. | Already of record in 09/478,352 |
| | U-112 | 5,383,892 | 1/95 | Cardon et al. | Already of record in 09/478,352 |
| | U-113 | 5,383,928 | 1/95 | Scott | Already of record in 09/478,352 |
| | U-114 | 5,387,235 | 2/95 | Chuter | Already of record in 09/478,352 |
| | U-115 | 5,389,106 | 2/95 | Tower | Already of record in 09/478,352 |
| | U-116 | 5,397,345 | 3/14/95 | Lazarus | Already of record in 09/478,352 |
| | U-117 | 5,403,341 | 4/95 | Solar | Already of record in 09/478,352 |
| | U-118 | 5,405,377 | 4/95 | Cragg | Already of record in 09/478,352 |
| | U-119 | 5,409,019 | 4/95 | Wilk | Already of record in 09/478,352 |
| | U-120 | 5,413,597 | 5/95 | Krajicek | Already of record in 09/478,352 |
| | U-121 | 5,415,664 | 5/95 | Pinchuk | Already of record in 09/478,352 |
| | U-122 | 5,429,144 | 7/95 | Wilk | Already of record in 09/478,352 |
| | U-123 | 5,443,496 | 8/95 | Schwartz et al. | Already of record in 09/478,352 |
| 7 | U-124 | 5,443,497 | 8/22/95 | Venbrux | Already of record in 09/071,731 |

| EXAMIN ER INITIALS | REFERENCE NUMBER (U SERIES) | PATENT NUMBER | ISSUE DATE | NAME OF PATENTEE OR APPLICANT | PAGE/LINE AND FIGURE/ELEMENT OF RELEVANT MATERIAL AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|-----------------------------------|------------------|---------------|----------------------------------|---|
| | U-125 | 5,443,498 | 8/95 | Fontaine | Already of record in 09/478,352 |
| | U-126 | 5,456,713 | 10/95 | Chuter | Already of record in 09/478,352 |
| | U-127 | 5,464,449 | 11/95 | Ryan | Already of record in 09/478,352 |
| | U-128 | 5,489,295 | 2/6/96 | Piplani et al. | Already of record in 09/071,731 |
| | U-129 | 5,507,767 | 4/96 | Maeda | Already of record in 09/478,352 |
| | U-130 | 5,507,771 | 4/96 | Gianturco | Already of record in 09/478,352 |
| | U-131 | 5,522,880 | 2/4/96 | Barone et al. | Already of record in 09/071,731 |
| - | U-132 | 5,562,724 | 10/96 | Vorwerk | Already of record in 09/478,352 |
| | U-133 | 5,562,726 | 10/8/96 | Chuter | Already of record in 09/071,731 |
| | U-134 | 5,562,727 | 10/96 | Turk | Already of record in 09/478,352 |
| | U-135 | 5,562,728 | 10/8/96 | Lazarus | Already of record in 09/478,352 |
| | U-136 | 5,562,742 | 10/96 | Vorwerk et al. | Already of record in 09/478,352 |
| | U-137 | 5,571,170 | 11/96 | Palmaz | Already of record in 09/478,352 |
| | U-138 | 5,575,817 | 11/19/96 | Martin | Already of record in 09/478,352 |
| | U-139 | 5,609,605 | 3/11/97 | Marshall et al. | Already of record in 09/071,731 |
| | U-140 | 5,609,627 | 3/97 | Golcoechea et al. | Already of record in 09/478,352 |
| | U-141 | 5,639,278 | 6/17/97 | Dereume et al. | |
| | U-142 | 5,676,696 | 10/14/97 | Marcade | Already of record in 09/071,731 |
| - | U-143 | 5,676,697 | 10/14/97 | McDonald | Already of record in 09/071,731 |
| | U-144 | 5,683,449 | 11/4/97 | Marcade | Already of record in 09/071,731 |
| | U-145 | 5,683,450 | 11/97 | Golcoechea et al. | Already of record in 09/478,352 |
| | U-146 | 5,683,451 | 11/97 | Lenker et al. | Already of record in 09/478,352 |
| | U-147 | 5,683,453 | 11/4/97 | Palmaz | Already of record in 09/071,731 |
| | U-148 | 5,693,086 | 12/97 | Golcoechea et al. | Already of record in 09/478,352 |
| | U-149 | 5,709,713 | 1/98 | Evans et al. | Already of record in 09/478,352 |
| - | U-150 | 5,716,365 | 2/98 | Golcoechea et al. | Already of record in 09/478,352 |
| | U-151 | 5,718,724 | 2/98 | Golcoechea et al. | Already of record in 09/478,352 |
| | U-152 | 5,752,522 | 5/98 | Murphy | Already of record in 09/478,352 |
| | U-153 | 5,782,904 | 7/98 | White et al. | Already of record in 09/478,352 |
| | U-154 | 5,797,949 | 8/25/98 | Parodi | Already of record in 09/071,731 |
| | U-155 | 5,824,039 | 10/20/98 | Piplani et al. | Already of record in 09/071,731 |
| | U-156 | 5,824,055 | 10/20/98 | Spiridigliozzi et al. | Already of record in 09/071,731 |

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|--------------------------|-----------------------------------|------------------|---------------|----------------------------------|---|
| | U-157 | 5,860,923 | 1/99 | Lenker et al. | Already of record in 09/478,352 |
| | U-158 | 5,871,536 | 2/16/99 | Lazarus | Already of record in 09/071,731 |
| | U-159 | 6,117,167 | 9/12/00 | Goicoechea et al. | |
| | U-160 | 6,165,213 | 12/26/00 | Goicoechea et al. | |
| | U-161 | 6,582,458 | 6/24/2003 | White et al. | |
| | U-162 | 6,689,158 | 2/10/2004 | White et al. | |
| Date: | Date: | | | gnature: | |

LISTING OF FOREIGN AND INTERNATIONAL PATENT DOCUMENTS - F Series

| _ | | | r | | 0.0 | ENGLISH ANGUAGE TO ANGUATION |
|----------------------|-----------------------------------|-----------------------|---------------------|--|---|--|
| EXAMINER INITIALS | REFERENCE NUMBER (F SERIES) | PUBLICATION NUMBER | PUBLICATION DATE | COUNTRY OR REGION | PAGE/LINE AND FIGURE/ELEMEN T OF RELEVANT MATERIAL | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
| | F-001 | DE1766921 | 9/70 | Germany | | No; of record in 09/478,352 |
| _ | F-002 | FR2333487 | 12/75 | France | | No; of record in 09/478,352 |
| | F-003 | DE2805749 | 2/78 | Germany | | No; of record in 09/478,352 |
| | F-004 | FR2409747 | 10/80 | France | | No; of record in 09/478,352 |
| | F-005 | WO 83/00997 | 3/83 | WIPO | | of record in 09/478,352 |
| | F-006 | GB2106190 | 4/7/83 | Great Britain | | |
| | F-007 | WO 83/03752 | 11/10/83 | WIPO | | |
| | F-008 | SU1217402 | 5/84 | Russia | | of record in 09/478,352 |
| | F-009 | SU1318235 | 7/84 | Russia | | of record in 09/478,352 |
| | F-010 | EP0145166 | 6/19/85 | Europe | | |
| | F-011 | EP0183372 | 10/85 | Europe | | of record in 09/478,352 |
| | F-012 | FR2512678 | 11/85 | France | | No; of record in 09/478,352 |
| | F-013 | EP0177300 | 3/86 | Europe | | of record in 09/478,352 |
| | | | | | | |
| | F-015 | SU1457921 | 3/86 | Russia | | of record in 09/478,352 |
| | F-016 | GB2189150 | 10/87 | Great Britain | | of record in 09/478,352 |
| | F-017 | WO 89/01320 | 2/23/89 | WIPO | | of record in 09/478,352 |
| | F-018 | WO 89/08433 | 9/21/89 | WIPO | | |
| | F-019 | EP0335341 | 10/89 | Europe | | of record in 09/478,352 |
| | F-020 | WO 90/04982 | 5/90 | WIPO | | of record in 09/478,352 |
| | F-021 | EP0421729 | 4/91 | Europe | | of record in 09/478,352 |
| | F-022 | EP0423916 | 4/24/91 | Europe | | |
| | F-023 | WO 91/07928 | 6/13/91 | WIPO | | |
| | F-024 | WO 91/12047 | 8/91 | WIPO | | No; of record in 09/478,352 |
| | F-025 | WO 92/00043 | 1/92 | WIPO | | of record in 09/478,352 |
| | F-026 | 649051 | 1/92 | US (Lazarus et al. Class 623 Sub. 1) | | of record in 09/478,352 |
| | F-027 | EP0472731 | 3/92 | Europe | | of record in 09/478,352 |

| EXAMIN ER INITIALS | REFERENCE NUMBER (F SERIES) | PUBLICATI ON NUMBER | PUBLICATI ON DATE | COUNTRY OR REGION | PAGE/LINE AND FIGURE/ELEMEN T OF RELEVANT MATERIAL | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|-----------------------------------|---------------------------|----------------------|----------------------|---|--|
| | F-028 | EP0508473 | 4/10/92 | Europe | | |
| _ | F-029 | WO 92/06734 | 4/30/92 | WIPO | | |
| | F-030 | 4-253856 | 9/9/92 | Japan | | Yes (Abstract); of record in 09/478,352 |
| | F-031 | EP0508473 | 10/14/92 | Europe | | |
| | F-032 | EP0540290 | 10/92 | Europe | | of record in 09/478,352 |
| | F-033 | FR2678508 | 1/93 | France | | No; of record in 09/478,352 |
| | F-034 | EP0521573 | 1/7/93 | Europe | | |
| | F-035 | EP0556850 | 2/19/93 | Europe | | |
| | F-036 | 5-76603 | 3/30/93 | Japan | | Yes (Abstract); of record in 09/478,352 |
| | F-037 | EP0541443 | 5/93 | Europe | | of record in 09/478,352 |
| | F-038 | EP0579523 | 6/93 | Europe | | of record in 09/478,352 |
| | F-039 | EP0551179 | 7/14/93 | Europe | | |
| | F-040 | WO 93/13825 | 7/22/93 | WIPO | | |
| | F-041 | EP0556850 | 8/25/93 | Europe | | |
| | F-042 | EP0579523 | 1/94 | Еигоре | | |
| | F-043 | EP0617930 | 3/7/94 | Europe | | |
| | F-044 | DE9319267.3 | 4/94 | Germany | | No; of record in 09/478,352 |
| | F-045 | EP0621016 | 4/94 | Europe | | of record in 09/478,352 |
| | F-046 | WO 94/12136 | 6/94 | WIPO | 1 | of record in 09/478,352 |
| | F-047 | DE4303181 | 8/11/94 | Germany | | No |
| | F-048 | WO 94/17754 | 8/94 | WIPO | | of record in 09/478,352 |
| | F-049 | WO 95/01761 | 1/95 | WIPO | | of record in 09/478,352 |
| | F-050 | WO 95/08966 | 4/95 | WIPO | | of record in 09/478,352 |
| | F-051 | EP0656198 | 6/95 | Europe | | of record in 09/478,352 |
| | F-052 | WO 95/16406 | 6/95 | WIPO | | of record in 09/478,352 |
| | F-053 | EP0686379 | 12/95 | Europe | | of record in 09/478,352 |
| | F-054 | WO 96/10375 | 4/96 | WIPO | | of record in 09/478,352 |
| | F-055 | WO 96/11648 | 4/25/96 | WIPO | | of record in 09/071,731 |

| EXAMIN ER INITIALS | REFERENCE NUMBER (F SERIES) | PUBLICATI ON NUMBER | PUBLICATI ON DATE | COUNTRY OR REGION | PAGE/LINE AND FIGURE/ELEMEN T OF RELEVANT MATERIAL | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|-----------------------------------|---------------------------|----------------------|----------------------|---|--|
| | F-056 | WO 96/28116 | 9/96 | WIPO | | of record in 09/478,352 |
| | F-057 | EP0792627 | 9/3/97 | Europe | | of record in 09/478,352 |
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LISTING OF NON PATENT LITERATURE - O Series

| EXAMIN ER INITIALS | REFERENC E NUMBER (L Series) | PUBLICATI ON DATE | INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|------------------------------------|----------------------|---|---|
| | O-001 | | CRONENWETT et al., "Arterial Aneurysms," Rutherford Vascular Surgery Fifth Edition, 2000, title page, copyright page, table of contents for sections X1-XV; and pgs 1241-1246. | of record in 09/478,352 |
| | O-002 | | BAIRD et al., "Aortoiliofemoral Occlusive Disease," Vascular Surgery Principles and Practice, 1987, title page, copyright page, table of contents Part 1-4; and pgs 344-352. | of record in 09/478,352 |
| | O-003 | | Fax cover sheet dated 11/11/92 showing US destination telephone number 602-240-6160 and abstract by May et al., "Advantages and Limitations of Intraluminal Grafts for Thoracic and Abdominal Aortic Aneurysms," associated with fax cover sheet. | of record in 09/478,352 |
| | O-004 | | Brochure for Meeting Announcement and Call for Abstracts for International Congress VI Endovascular Interventions, for Jan.27-31, 1993. | of record in 09/478,352 |
| | O-005 | | Preliminary Program for International Congress VI Endovascular Interventions, for Jan. 27-31, 1993. | of record in 09/478,352 |
| | O-006 | | Official Program for The International Congress VI Endovascular Interventions Tomorrow's Technology Today including 3 abstracts, respectively naming Rees, Fogarty, and G. White as authors, Jan. 27-31, 1993. | of record in 09/478,352 |
| | O-007 | | WHITE et al., Copy of Powerpoint Slide Presentation: "Experimental Endoluminal Grafts and Coated Stents," first page stating "With Compliments Astara and Russell," (6 pages). | of record in 09/478,352 |

| EXAMIN ER INITIALS | REFERENC E NUMBER (L Series) | PUBLICATI ON DATE | INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|------------------------------------|----------------------|--|---|
| | O-008 | | White et al., Copy of PowerPoint Slide Presentation: "Experimental Endoluminal Grafts and Coated Stents," with first page stating "Geoffrey H. White, Weiyun Yu, George E. Kopchok, Martin R. Back, Rodney A. White, Samuel E. Wilson, James May," (13 pages). | of record in 09/478,352 |
| | O-009 | - | MINTEC Sales literature (5 pages) for Cragg EndoPro System 1, Facsimile date Oct. 1993. | of record in 09/478,352 |
| | O-010 | | DOTTER et al., "Transluminal Expandable Nitinol Coil Stent Grafting: Preliminary Report," Technical Developments and Instrumentation, Radiology 147, pgs 259-260, April 1983. | of record in 09/478,352 |
| | O-011 | | CRAGG et al., "Nonsurgical Placement of Arterial Endoprostheses: A New Technique Using Nitinol Wire," Radiology 147: pgs 261- 263, April 1983. | of record in 09/478,352 |
| | O-012 | | Diagramatic representations of the treatment of occlusive arterial disease by balloon angioplasty and stent implantation, dated March 1993. | of record in 09/478,352 |
| | O-013 | | RICHTER, G.M., 1 page excerpt from an article, dated August 1993. | of record in 09/478,352 |
| | O-014 | | Meeting cover page and agenda for 2nd Annual RPAH Symposium on Endovascular Therapies "Endoluminal Aortic Aneurysm Grafting and Stent Applications in Vascular Disease," Sydney Australia, Nov. 27-28, 1992 (4 pages). | of record in 09/478,352 |
| | O-015 | | Fax cover sheet, preliminary notice, registration information and attendance list for: "3rd Annual Symposium on Endovascular Therapies for Endoluminal Aneurysm Grafting Vascular Stents And Endovascular Interventions," Nov. 26-27, 1993 (28 pages). | of record in 09/478,352 |

| EXAMIN ER INITIALS | REFERENC E NUMBER (L Series) | PUBLICATI ON DATE | INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------------|------------------------------------|----------------------|--|---|
| | O-016 | | Preliminary program for International Congress VII Endovascular Interventions, Feb. 13-17, 1994. | of record in 09/478,352 |
| | O-017 | | WHITE et al., Abstract, "A New Non-Stented Balloon-Expandable Graft for Straight or Bifurcated Endoluminal Bypass," after Nov. 1993. | of record in 09/478,352 |
| | O-018 | | WHITE et al., Abstract, "A Comparison of Four Devices for Endoluminal Repair of Abdominal Aortic Aneurysms," Annual Scientific Conference, May 1994. | of record in 09/478,352 |
| | O-019 | | ISR for PCT/AU 94/00586, Nov. 30 1994. | of record in 09/478,352 |
| | O-020 | | Bard Vascular Systems Division, Product Brochure "USCI DeBakey Soft-Woven Dacron Vascular Prosthesis," 1991. | of record in 09/478,352 |
| | O-021 | | MIRICH, et al., "Percutaneoulsy Placed Endovascular Grafts for Aortic Aneurysms: Feasibility Study," Radiology 1989: 170: 1033-1037. | of record in 09/478,352 |
| | O-022 | | BALKO, et al., "Transfemoral Placement of Intraluminal Polyurethane Prosthesis for Abdominal Aortic Aneurysm," present at the Annual Meeting of the Association for Academic Surgery, November 10-13 1985. | of record in 09/478,352 |
| | O-023 | Oct. 1988 | YOSHIOKA et al., Self-Expanding Endovascular Graft: An Experimental Study in Dogs, American Journal of Radiology, 151, pages 673-76. | of record in 09/478,352 |
| | O-024 | | Document Bibliography and Abstract page printout for EP 472731 from EPO website epo@cenet | of record in 09/478,352 |
| | O-025 | | Document Bibliography and Abstract page printout for US 5383892 from EPO website epo@cenet | of record in 09/478,352 |

| EXAMIN ER INITIALS | REFERENC E NUMBER (L Series) | PUBLICATI ON DATE | INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|--------------------|------------------------------------|----------------------|--|---|
| | O-026 | | Document Bibliography and Abstract page printout for US 5562724 from EPO website epo@cenet | of record in 09/478,352 |
| | O-027 | Feb.18, 2000 | Declaration of Geoffrey H. White. | of record in 09/478,352 |
| | O-028 | Jun. 17, 2000 | Declaration of Ian L. Gordon including Exhibit B. | of record in 09/478,352 |
| | O-029 | Feb. 28, 2000 | Declaration of W. Davis. | of record in 09/478,352 |
| | O-030 | Jun. 16, 2000 | Declaration of Mark Dehdashtian. | of record in 09/478,352 |
| | O-031 | April 24, 2001 | Declaration of Geoffrey H. White. | of record in 09/478,352 |
| | O-032 | Nov. 22, 2002 | Declaration of Geoffrey H. White including Exhibits GHWI and GHWII. | of record in 09/478,352 |
| | O-033 | March 8, 2002 | Declaration of Edward B. Diethrich. | of record in 09/478,352 |
| | O-034 | November 29, 2002 | Declaration of Tim McGahan 2002 including CV of Tim McGahan. | of record in 09/478,352 |
| | O-035 | 1984 | McGraw-Hill Dictionary of Scientific and Technical Terms; Third Edition; MgGraw- Hill Book Company, New York; pages 796 and 1284. | of record in 09/478,352 |
| | O-036 | 1990 | Duering et al., Editors, "Engineering Aspects of Shape Memory Alloys," London: Butterworth-Heinemann Ltd, 1990, pp. 369-393. | |
| | O-037 | Nov 1979 | Schetky, "Shape Memory Alloys," Scientific American, Nov. 1979, Vol. 241, No. 5, pp. 74-82. | |
| | O-038 | 1990 | Dondelinger et al., Editors, "Interventional Radiology," New York: Thieme Medical Publishers, Inc., 1990, pp. 686-692. | |

| REFERENC E NUMBER (L Series) | PUBLICATI ON DATE | INCLUDE IN SEQUENCE: Name of first author (in CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication | ENGLISH LANGUAGE TRANSLATION ATTACHED? (YES OR NO) AND/OR OR IDENTIFICATION OF PRIORITY APPLICATION IN WHICH REFERENCE IS CITED |
|------------------------------------|-------------------------------------|--|---|
| O-039 | Jan 1984 | Cragg et al., "Percutaneous Arterial Grafting," Radiology, Vol. 150, No. 1, January, 1984, pp. 45-49. | |
| O-040 | 1981 | Otsuka et al., "Pseudoelasticity," Metals Forum, Vol. 4 No. 3, 1981, pp. 142-152. | |
| O-041 | 11/25/199 3 | Registration Brochure for: "3rd Annual Symposium on Endoluminal Aneurysm Grafting Stent Applications and Endovascular Interventions," Nov. 25-27, 1993 5 pages). | |
| O-042 | Oct 1993 | Marin et al., "Transfemoral Stented Graft Treatment of Occlusive Arterial Disease for Limb Salvage: A Preliminary Report," Circulation, 1993, Vol. 88 No. 4, p. 11. | |
| O-043 | 1993 | Parodi et al., "Transfemoral Intraluminal Graft Implantation for Abdominal Aortic Aneurysms," Journal of the American College of Cardiology, 21 (Suppl. A): p. 425A, 1993. | |
| O-044 | 12/1/1993 | May et al., "Transluminal Placement of a Prosthetic Graft-Stent Device for Treatment of Subclavian Artery Aneurysm," Journal of Vascular Surgery, December 1, 1993, Vol. 18, No. 6, pp. 1056-1059. | |
| O-045 | 5/1/1994 | May et al., "Treatment of Complex Abdominal Aortic Aneurysms by a Combination of Endoluminal and Extraluminal Aortofemoral Grafts," Journal of Vascular Surgery, May 1, 1994, Vol. 19 No. 5, pp. 924-933. | |
| O-046 | 1993 | Parodi et al., "Transfemoral Endovascular Treatment of Aortoiliac Aneurysms and Arteriovenous Fistulas with Stented Dacron Grafts," Current Critical Problems in Vascular Surgery, 1993, Vol. 5, p. 264. | |
| | O-039 O-040 O-041 O-042 O-043 O-044 | C-040 | ENUMBER (L Series) ON DATE CAPITAL LETTERS), Title in quotation marks, name of publication, date or publication, page numbers, publisher, city of publication, and country of publication O-039 Jan 1984 Cragg et al., "Percutaneous Arterial Grafting," Radiology, Vol. 150, No. 1, January, 1984, pp. 45-49. O-040 1981 Otsuka et al., "Pseudoelasticity," Metals Forum, Vol. 4 No. 3, 1981, pp. 142-152. O-041 11/25/199 Registration Brochure for: "3rd Annual Symposium on Endoluminal Aneurysm Grafting Stent Applications and Endovascular Interventions," Nov. 25-27, 1993 5 pages). O-042 Oct 1993 Marin et al., "Transfemoral Stented Graft Treatment of Occlusive Arterial Disease for Limb Salvage: A Preliminary Report," Circulation, 1993, Vol. 88 No. 4, p. 11. O-043 Parodi et al., "Transfemoral Intraluminal Graft Implantation for Abdominal Aortic Aneurysms," Journal of the American College of Cardiology, 21 (Suppl. A): p. 425A, 1993. O-044 12/1/1993 May et al., "Transluminal Placement of a Prosthetic Graft-Stent Device for Treatment of Subclavian Artery Aneurysm," Journal of Vascular Surgery, December 1, 1993, Vol. 18, No. 6, pp. 1056-1059. O-045 5/1/1994 May et al., "Treatment of Complex Abdominal Aortic Aneurysms by a Combination of Endoluminal and Extraluminal Aortofemoral Grafts," Journal of Vascular Surgery, May 1, 1994, Vol. 19 No. 5, pp. 924-933. O-046 1993 Parodi et al., "Transfemoral Endovascular Treatment of Aortoiliac Aneurysms and Arteriovenous Fistulas with Stented Dacron Grafts," Current Critical Problems in Vascular |